

# Dynamic tables

C

array must declare size of array when its defined

Python

initiate an array  
- keep arbitrarily adding elts

T a table

- • size = # of positions
- • table = pointer to start of table
- • num = # of slots being used

Use analysis we defined yesterday to see that dynamically growing a table is not actually "cheating"

idea - starting with empty table, we fill it in & each time we use up all available slots, we expand & double the amt. of space available

Table-insert ( $T, x$ )

if  $T.size = 0$

allocate  $T.table$  w/ one slot, insert  $x$

$T.size = 1$

if  $T.num = T.size$

allocate new-table of size  $2 \cdot T.size$

insert all elts of  $T$  into new-table

free  $T.table$

$T.table = \text{new-table}$

$T.size = 2 \cdot T.size$

insert  $x$  into  $T.table$

$T.num++$

insertion

Sequence of  $n$  table insertions

what's complexity

w/ expansion, we're doing  $O(n)$  work

$\rightarrow n \cdot O(n) = O(n^2)$   
complexity.

Q how many times

do we do an expansion?

ans  $\lfloor \log n \rfloor$  because each time

we're doubling size of table

Q is bound on size of T  
in terms of n?

$2^n$ ? - no

$$T.\text{size} \leq 2n$$

Obs we're always using  $\geq \frac{1}{2}$  T.size

slots because we only expand  
when the table is full  
& we double the size so at  
each step, we're using at least  
 $\frac{1}{2}$  available slots.

since we only expand  $\log n$   
times bound of  $O(n \log n)$

Aggregate analysis

$C_i$  = cost of  $i^{\text{th}}$  insertion

$$C_i = \begin{cases} i & \text{if } i-1 \text{ is a power of } 2 \\ 1 & \text{o.w.} \end{cases}$$

$$\sum C_i \leq n + \sum_{\substack{i \leq n \\ i = 2^j - 1}} i$$

we have a  
term here  
for  $j \leq \log_2 n$

$$\sum_{i=1}^n c_i = n + \underbrace{\sum_{j=0}^{\log n} 2^{j+1}}_{\leq 2n}$$

$$\leq 3n$$

∴ amortized cost of an insertion =  $3 \cdot O(1)$

Accounting method

$\frac{\text{charge}}{3}$

write in elementary insertion

0

write in the expansion

Bank

each insertion w/out expansion

banks 2

bank has

$$2 \left( T.\text{num} - \frac{T.\text{size}}{2} \right)$$

exactly # of insertions since the last expansion

$$= 2 T.\text{num} - T.\text{size}$$

show this holds by induction ∴ is  $\geq 0$

potential method

$$\Rightarrow \hat{C}_c = 3$$

$$\underline{\Phi}(D_i) = 2 \cdot D_i.\text{nom} - D_i.\text{size}$$

$$- \underline{\Phi}(D_0) = 0 \quad \checkmark$$

$$- \underline{\Phi}(D_i) \geq 0 \quad \forall i \quad \checkmark$$

$$\hat{C}_i = C_i + \underline{\Phi}(D_i) - \underline{\Phi}(D_{i-1})$$

Case insertion without expansion

$$C_i = 1$$

$$D_i.\text{nom} = D_{i-1}.\text{nom} + 1$$

$$\hat{C}_i = 1 + 2 D_i.\text{nom} - \cancel{D_i.\text{size}} - 2(D_{i-1}.\text{nom}) + \cancel{D_{i-1}.\text{size}}$$

same

Case

insertion along an expansion

$$\hat{c}_i = c_i + \overline{\Phi}(D_i) - \overline{\Phi}(D_{i-1})$$

$$= D_{i-1}.nom + 2 \cdot D_i.nom - D_i.size \quad \xrightarrow{\text{orange}} \quad = -D_{i-1}.size$$

$\xrightarrow{\text{blue}} - 2 \cdot D_{i-1}.nom + D_{i-1}.size$

$$- D_i.size + D_{i-1}.size \quad + 2 \cdot D_{i-1}.size = D_i.size$$

$$= -D_{i-1}.size$$

$$= D_i.nom + 2 - D_{i-1}.size$$

$$= 3 \quad \checkmark$$

$$\hat{c}_i = 3 \quad \text{in both}$$

cases

$\Rightarrow$  ~~series of~~

$$\Rightarrow \sum c_i = \sum \hat{c}_i = 3n \quad \checkmark$$

because this is an expansion step

$$D_i.nom = D_{i-1}.size + 1$$

adding deletion

Def load factor  $\alpha(T) :=$   

$$\frac{\# \text{ of elts in } T}{\# \text{ of slots in } T}$$

in our series of expansions, the load factor varied between  $\frac{1}{2}$  + 1

problem:  $n = 2^k$  do  $n/2 + 1$  inserts - length of table =  $n$

do a deletion, deletion, insertion, insertion, deletion, deletion,

↑  
triggers a contraction  $O(n)$

↑  
triggers an expansion  $O(n)$

insertion, insertion

$n/4 \cdot \Theta(n) = \Theta(n^2)$

Table - deletion  $(T, x)$

if  $T.num = \frac{T.size}{2}$   
 allocate a new-table w/  $\frac{T.size - 1}{2}$  slots  
 insert all elts of  $T$  into new-table

contraction

free  $T$ .table  
 $T.table = \text{new-table}$   
 $T.size = \frac{T.size}{2}$

delch  $x$  from  $T$

$T.num --$

Do  $n/2$  insertions  
 do  $n/2$  deletion  
 Does  $O(i)$  work  
 $i = n/2, \dots, 1$   
 $= O(\sum_{i=1}^{n/2} i) = O(n^2)$

Table-deletion ( $T, x$ )

$$\text{if } T.\text{num} \leq \frac{T.\text{size}}{2}$$

allocate new table of w/  $T.\text{num}$  slots  
insert all elts of  $T$  into new-table  
free  $T$ .table

$$T.\text{table} = \text{new-table}$$

$$T.\text{size} = T.\text{num}$$

delete  $x$  from  $T$

$T.\text{size} --$

what is the load factor here

$$\frac{1}{4} \leq \alpha(T) \leq 1$$

We want to show a series of  $n$  table insertions & deletions, starting from empty table has  $O(n)$  operations.

use potential function method

$$\Phi(D_i) = \begin{cases} 2 \cdot D_i.\text{num} - D_i.\text{size} & \alpha \geq \frac{1}{2} \\ D_i.\text{size} / 2 - D_i.\text{num} & \alpha < \frac{1}{2} \end{cases}$$

Same as before when  $\alpha \geq \frac{1}{2}$   
ie we won't do any contraction  
in this case, ie the only expansion

expensive operation could  
be an expansion

why don't we use

$$\underline{\Phi}(D_i) = 2 \cdot D_i.\text{num} - D_i.\text{size}$$

for all possible values of  $\alpha$ ?

when  $\alpha < \frac{1}{2}$ ,  $2 D_i.\text{num} - D_i.\text{size}$   
is negative

$$\frac{D_i.\text{size} - D_i.\text{num}}{2} \text{ is } \geq 0 \text{ if } \alpha < \frac{1}{2}$$

(we've just proven that  $\underline{\Phi}(D_i) \geq 0$   
 $\forall i$ )

starting w/ empty array  $D_0 = \phi$ ,  $\underline{\Phi}(D_0) = 0$

we want to bound  $\hat{C}_i$

$$\hat{C}_i = C_i + \underline{\Phi}(D_i) - \underline{\Phi}(D_{i-1})$$

Case  $i \neq 1$  operation is  $\begin{cases} \text{insertion} \\ \text{deletion} \end{cases}$

Cases for  $\underline{\Phi}(D_i)$   $\begin{cases} \alpha < \frac{1}{2} \\ \alpha \geq \frac{1}{2} \end{cases}$

Insertion

both  $\alpha(D_i) \neq \alpha(D_{i-1}) \geq \frac{1}{2}$

Then analysis is exactly the same  
as before.  $\hat{C}_i \leq 3$

what if both  $\alpha(D_i) + \alpha(D_{i-1}) < 1/2$

in this case, the insertion cannot regain an expansion  $\Rightarrow c_i = 1$

$$\hat{c}_i = 1 + \overline{\Phi}(D_i) - \overline{\Phi}(D_{i-1})$$

$$= 1 + \left( \frac{D_i \cdot \text{size}}{2} - D_i \cdot \text{num} \right) - \left( \frac{D_{i-1} \cdot \text{size}}{2} - D_{i-1} \cdot \text{num} \right)$$

no expansion  
 $\Rightarrow$  equality of these terms

$$\leq 0$$

Following the question I made at the end of the class: I was trying to ask in which case the sum of two "consecutive" load factors can be strictly smaller than 1/2, but it cannot

$$\alpha(D_{i-1}) < 1/2 + \alpha(D_i) \geq 1/2$$

$$\hat{c}_i = c_i + \overline{\Phi}(D_i) - \overline{\Phi}(D_{i-1})$$

$\uparrow$   
 $= 1$  because we can't expand

$$\hat{c}_i = 1 + \left( 2 \cdot D_i \cdot \text{num} - D_i \cdot \text{size} \right) - \left( \frac{D_{i-1} \cdot \text{size}}{2} - D_{i-1} \cdot \text{num} \right)$$

~~$$= 1 + 2(D_i \cdot \text{num}) + 1 - D_{i-1} \cdot \text{size} - \frac{D_{i-1} \cdot \text{size}}{2} + \underbrace{D_{i-1} \cdot \text{num}}_{D_i \cdot \text{num} - 1}$$~~

$$\alpha(D_i) \sim 1/2 = 1 + \underbrace{3 \cdot D_i \cdot \text{num}}_{\sim 3/2 D_i \cdot \text{size}} - 3/2 D_{i-1} \cdot \text{size}$$

$$\leq 3$$

a similar series of inequalities

shows

$$\hat{c}_i \leq 3 \quad \text{for a \# deletion}$$

+ we get that a series  
of  $n$  insertions & deletions has

$O(n)$  complexity.

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$$\hat{c}_i = 1 + 2(D_i.\text{num}) - D_i.\text{size} \\ - \frac{D_{i-1}.\text{size}}{2} + D_{i-1}.\text{num}$$

we have that

$$D_i.\text{num} = D_{i-1}.\text{num} + 1$$

$$D_i.\text{size} = D_{i-1}.\text{size}$$

$$= 1 + 3D_{i-1}.\text{num} + 2 - \frac{3}{2}D_{i-1}.\text{size}$$

$$\leq 3 + \frac{3}{2}D_{i-1}.\text{size} - \frac{3}{2}D_{i-1}.\text{size}$$

$$= 3.$$